

# Gene set enrichment analysis (GSEA)

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<http://dors.weizmann.ac.il/course/GSEA/>

# After performing a complex high-throughput experiment:

Microarrays

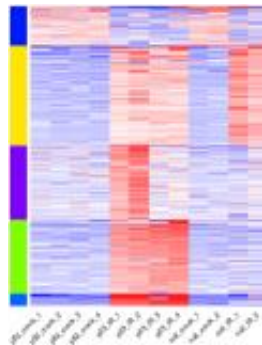
Deep Sequencing

Proteomics

...

## What did we get?

### Lists of genes



Clusters

A screenshot of a terminal window displaying a list of genes that are up-regulated. The window title is "genome:~/SIBC/original". The list includes gene identifiers and their corresponding expression values.

ER-Neuvins4	dS1628_s_at	253.3
ER-Neuvins4	dS1628_s_at	1386.0
ER-Neuvins4	dS1628_s_at	209.5
ER-Neuvins4	dS1716_at	695.3
ER-Neuvins4	dS1716_at	115.5
ER-Neuvins4	dS1716_at	596.3
ER-Neuvins4	dS1716_at	119.5
ER-Neuvins4	dS1762_at	573.3
ER-Neuvins4	dS1762_at	104.7
ER-Neuvins4	dS1762_at	507.8
ER-Neuvins4	dS1762_at	88.1
ER-Neuvins4	dS1763_at	698.0
ER-Neuvins4	dS1763_at	149.9
ER-Neuvins4	dS1763_at	593.3
ER-Neuvins4	dS1763_at	115.8
ER-Neuvins4	dS1764_at	2933.5
ER-Neuvins4	dS1764_at	426.6
ER-Neuvins4	dS1764_at	2862.8
ER-Neuvins4	dS1764_at	598.0
ER-Neuvins4	dS1765_at	846.5
ER-Neuvins4	dS1765_at	140.1
ER-Neuvins4	dS1765_at	1033.5
ER-Neuvins4	dS1765_at	207.3

Up regulated

A screenshot of a terminal window displaying a list of genes that are down-regulated. The window title is "genome:~/SIBC/original". The list includes gene identifiers and their corresponding expression values.

ER-Neuvins4	dS1628_s_at	253.3
ER-Neuvins4	dS1628_s_at	1386.0
ER-Neuvins4	dS1628_s_at	209.5
ER-Neuvins4	dS1716_at	695.3
ER-Neuvins4	dS1716_at	115.5
ER-Neuvins4	dS1716_at	596.3
ER-Neuvins4	dS1716_at	119.5
ER-Neuvins4	dS1762_at	573.3
ER-Neuvins4	dS1762_at	104.7
ER-Neuvins4	dS1762_at	507.8
ER-Neuvins4	dS1762_at	88.1
ER-Neuvins4	dS1763_at	698.0
ER-Neuvins4	dS1763_at	149.9
ER-Neuvins4	dS1763_at	593.3
ER-Neuvins4	dS1763_at	115.8
ER-Neuvins4	dS1764_at	2933.5
ER-Neuvins4	dS1764_at	426.6
ER-Neuvins4	dS1764_at	2862.8
ER-Neuvins4	dS1764_at	598.0
ER-Neuvins4	dS1765_at	846.5
ER-Neuvins4	dS1765_at	140.1
ER-Neuvins4	dS1765_at	1033.5
ER-Neuvins4	dS1765_at	207.3

Down regulated

# Functional Genomics:

## Find the Biological Meaning

- Take a list of "interesting" genes and find their biological meaning
  - Gene lists may come from significance/classification analysis of microarrays, proteomics, or other high-throughput methods
- Requires a reference set of "biological knowledge"

# Sets of “Biological Knowledge”

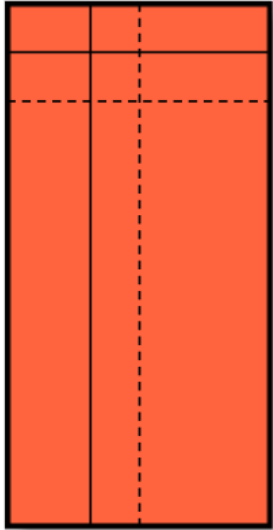
- Linking between genes and biological function:
  - Gene ontology: GO
  - Pathways databases
- Discovery of common sequences in co-regulated genes
- Meta-studies using data from multiple experiments
  - Public and private gene or protein expression databases

# Enrichment analysis (the most frequently used)

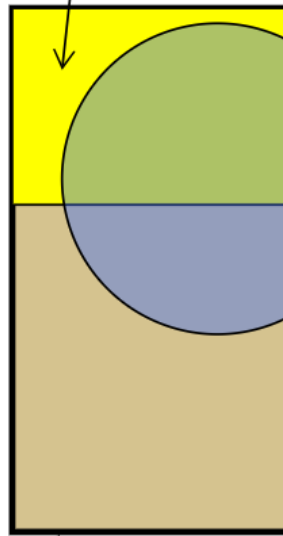
- Find your group of interesting genes (DE, up, down, cluster)
- Identify functional annotations that overlap and are over-represented (hypergeometric test).

# Enrichment test (hypergeometric)

Gene expression table



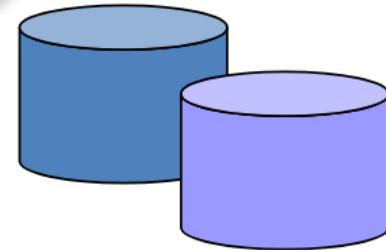
Cluster 1



Gene-set



Gene-set  
Databases

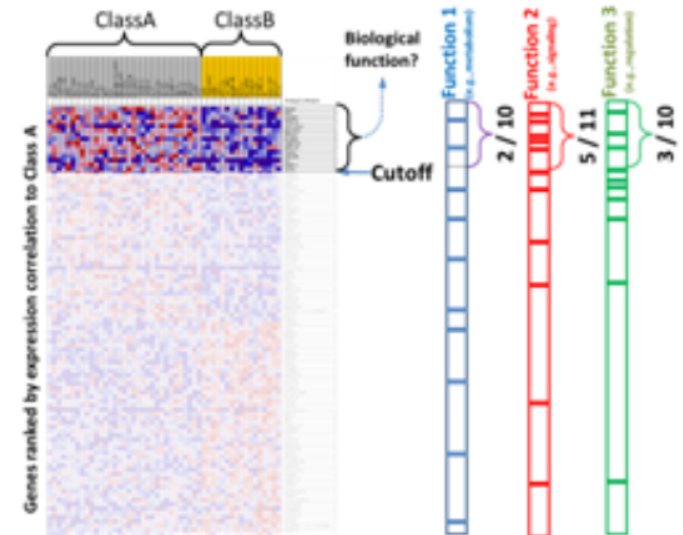


Background  
(all the genes detected  
in the experiment)

**Is the overlap greater than expected by chance  
(random sampling of the background)?**

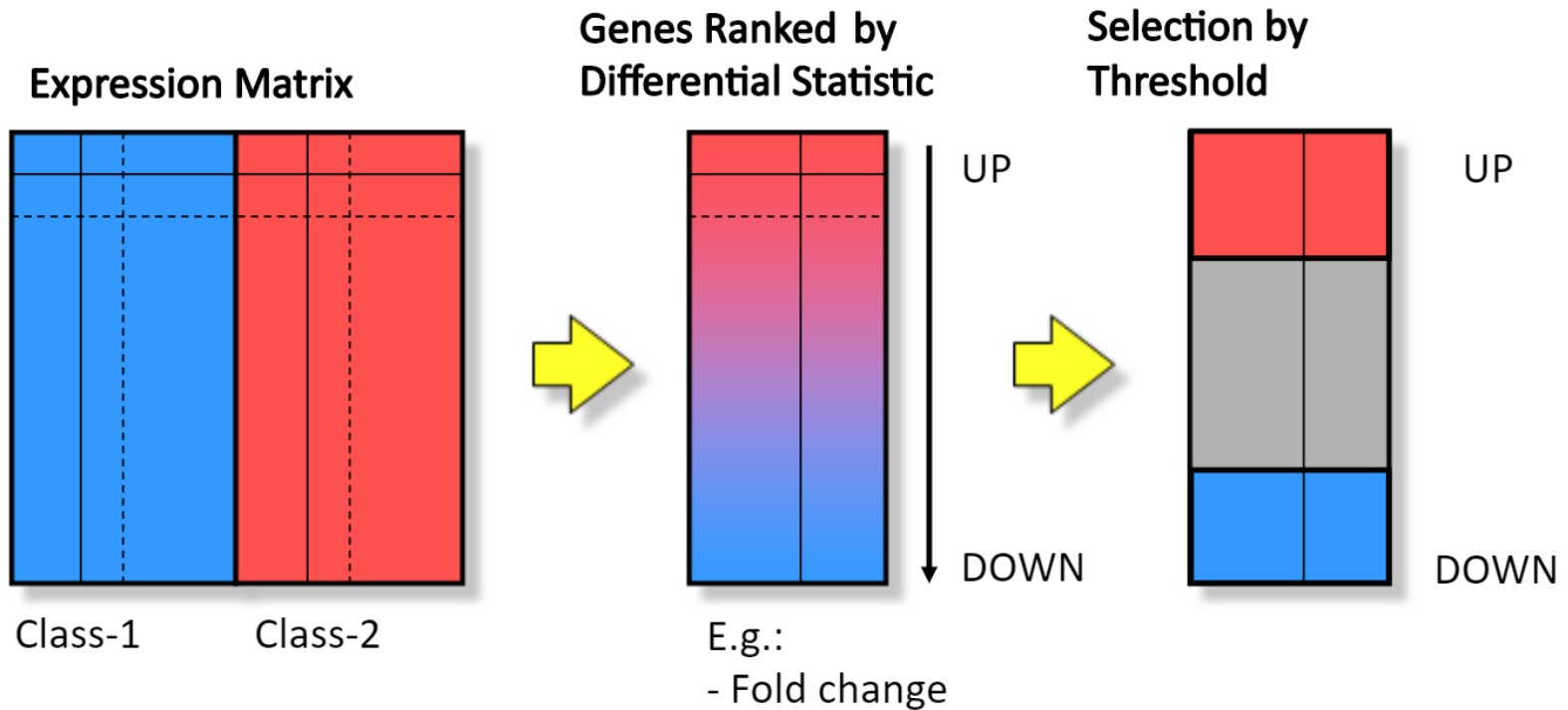
# Problems with cutoff-based analysis

- After correcting for multiple hypotheses testing, no individual gene may meet the threshold due to noise.
- Alternatively, one may be left with a long list of significant genes without any unifying biological theme.
- The cutoff value is often arbitrary!
- **We are really examining only a handful of genes, totally ignoring much of the data**

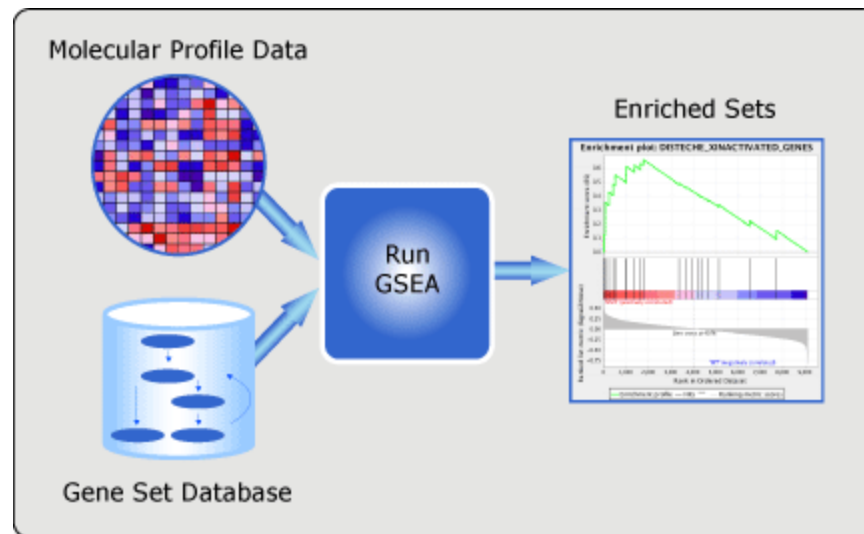




# Design of functional enrichment analysis



# Gene Set Enrichment Analysis (GSEA)



# Gene set enrichment analysis: A knowledge-based approach for interpreting genome-wide expression profiles



Aravind Subramanian, Pablo Tamayo, Vamsi K. Mootha, Sayan Mukherjee, Benjamin L. Ebert, Michael A. Gillette, Amanda Paulovich, Scott L. Pomeroy, Todd R. Golub, Eric S. Lander, and Jill P. Mesirov

PNAS October 25, 2005 102 (43) 15545-15550; published ahead of print September 30, 2005

<https://doi.org/10.1073/pnas.0506580102>

Contributed by Eric S. Lander, August 2, 2005

Article

Figures & SI

Info & Metrics

 PDF

## Abstract

Although genomewide RNA expression analysis has become a routine tool in biomedical research, extracting biological insight from such information remains a major challenge. Here, we describe a powerful analytical method called Gene Set Enrichment Analysis (GSEA) for interpreting gene expression data. The method derives its power by focusing on gene sets, that is, groups of genes that share common biological function, chromosomal location, or regulation. We demonstrate how GSEA yields insights into several cancer-related data sets, including leukemia and lung cancer. Notably, where single-gene analysis finds little similarity between two independent studies of patient survival in lung cancer, GSEA reveals many biological pathways in common. The GSEA method is embodied in a freely available software package, together with an initial database of 1,325 biologically defined gene sets.

# Gene set database

- The gene sets are defined based on **prior biological knowledge**, e.g., published information about biochemical pathways or co-expression in previous experiments and more....



# MSigDB

Molecular Signatures Database

## Collections

The MSigDB gene sets are divided into 8 major collections:

**H** **hallmark gene sets** are coherently expressed signatures derived by aggregating many MSigDB gene sets to represent well-defined biological states or processes.

**C1** **positional gene sets** for each human chromosome and cytogenetic band.

**C2** **curated gene sets** from online pathway databases, publications in PubMed, and knowledge of domain experts.

**C3** **motif gene sets** based on conserved cis-regulatory motifs from a comparative analysis of the human, mouse, rat, and dog genomes.

**C4** **computational gene sets** defined by mining large collections of cancer-oriented microarray data.

**C5** **GO gene sets** consist of genes annotated by the same GO terms.

**C6** **oncogenic signatures** defined directly from microarray gene expression data from cancer gene perturbations.

**C7** **immunologic signatures** defined directly from microarray gene expression data from immunologic studies.

- Recommended as a starting point.
- Hallmark gene sets summarize and represent specific well-defined biological states or processes.
- The hallmarks reduce noise and redundancy and provide a better delineated biological space for GSEA.


- CGP: chemical and genetic perturbations.
- CP: Canonical pathways
  - CP:BIOCARTA
  - CP:KEGG
  - CP:REACTOME

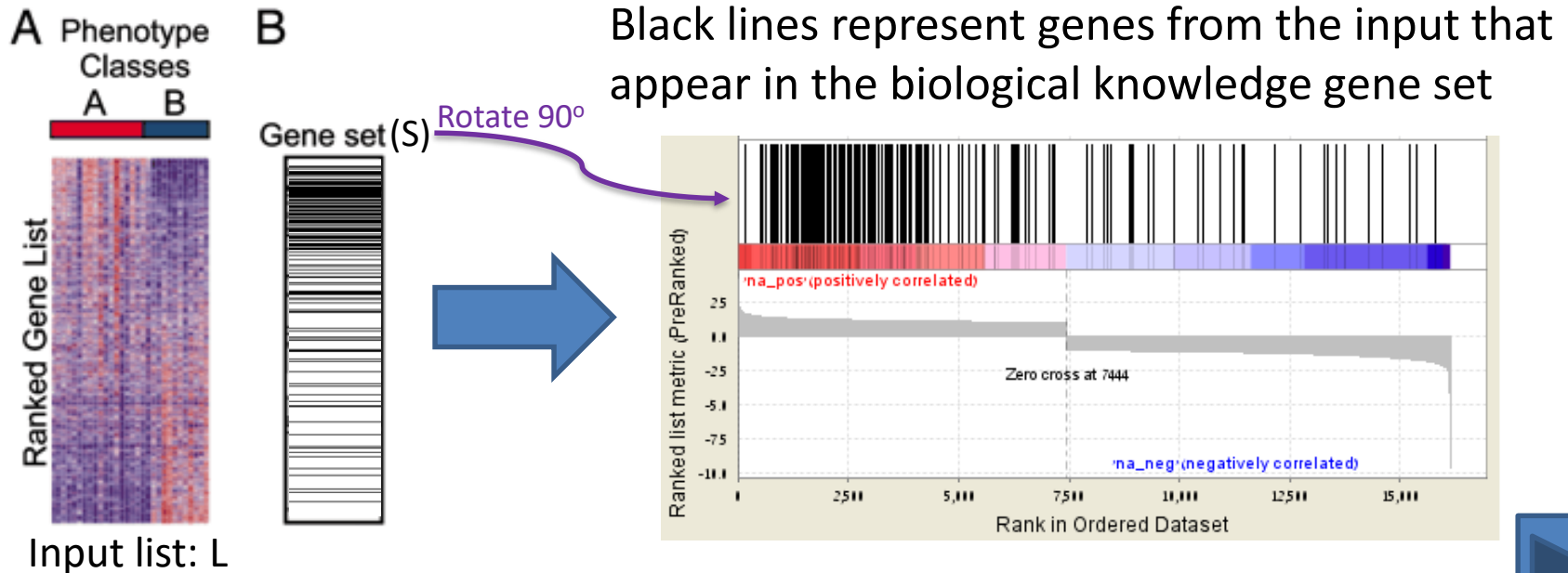
- The user can define new gene sets

# GSEA features

- GSEA performs its analysis on a list of ranked genes derived from comparing between two conditions, there is no need of cutoffs to define up or down regulated genes.
- Given a ranked list of differentially expressed genes, the goal of GSEA is to determine whether members of a gene set tend to occur toward the top (or bottom) of the list , in which case the gene set is correlated with the phenotypic class distinction (conditions).

# A GSEA overview illustrating the method

- Compute a gene-wise measure for differential expression between A and B and rank the genes according to this measure
- Alternatively a pre-ranked list can be used (L) 
- Calculates a score for the enrichment of an **entire set of genes**



# Pre-ranked gene list

## Using RNA-seq Datasets with GSEA

[https://software.broadinstitute.org/cancer/software/gsea/wiki/index.php/Using\\_RNA-seq\\_Datasets\\_with\\_GSEA](https://software.broadinstitute.org/cancer/software/gsea/wiki/index.php/Using_RNA-seq_Datasets_with_GSEA)

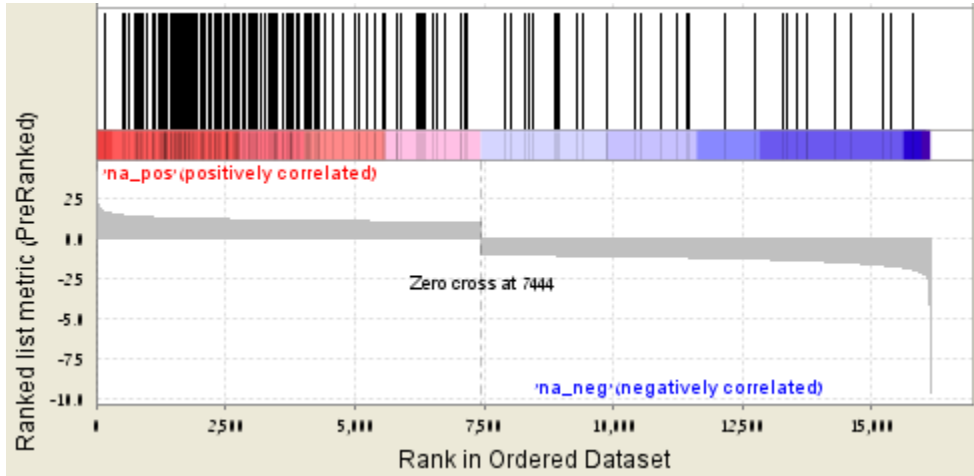
## Alternative Method: GSEA-Preranked

1. Prior to conducting gene set enrichment analysis, conduct your differential expression analysis using any of the tools developed by the bioinformatics community (e.g., cuffdiff, edgeR, DESeq, etc).
2. Based on your differential expression analysis, rank your features and capture your ranking in an RNK-formatted file. The ranking metric can be whatever measure of differential expression you choose from the output of your selected DE tool: log<sub>2</sub> fold change, p-value (-log<sub>10</sub>) or p-adjusted.

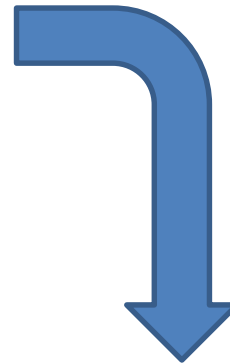




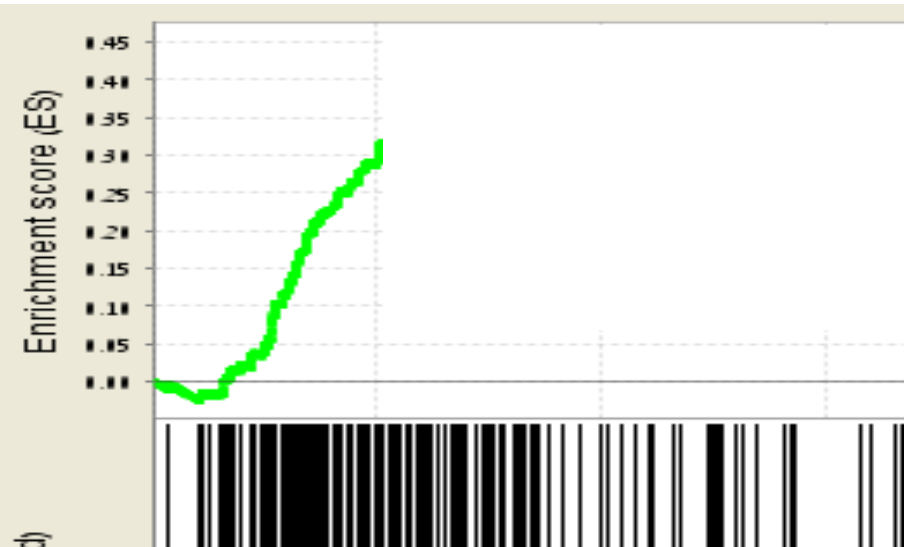
High fold change



Low fold change



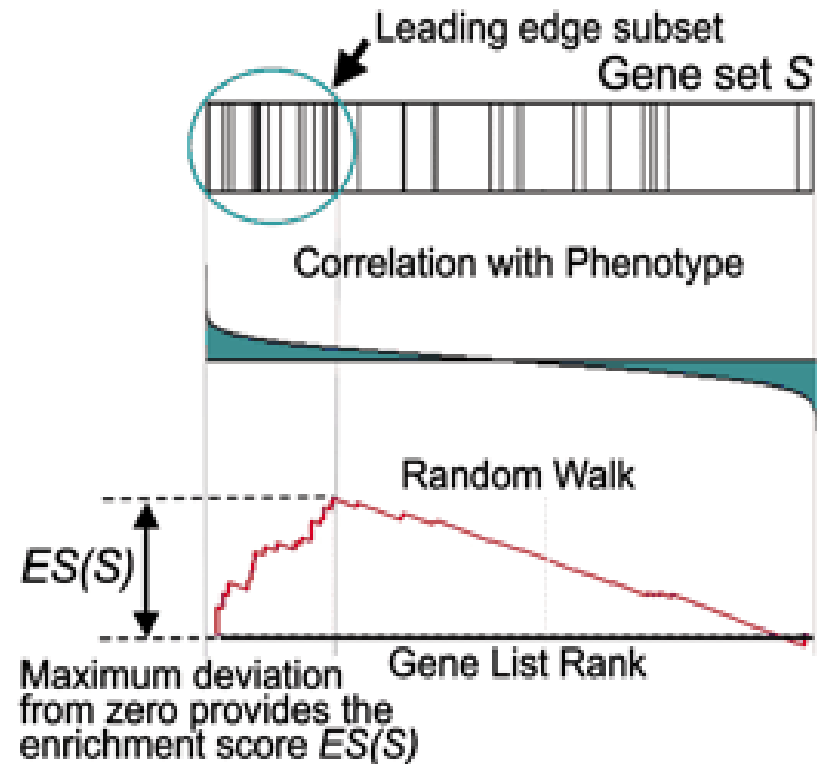
The score is calculated by walking down the input list  $L$ , increasing a running-sum statistic when we encounter a gene in the gene set  $S$  and decreasing it when we encounter genes not in  $S$ .



If up regulated genes in group A are enriched with genes from the Gene set S, many of its genes will have high ranks and we will observe a separation in the ordered list

The more genes found in S, the higher the Enrichment Score (ES)

But, when no genes from L are found in S for a long walk down, the ES will decrease



# Gene Set Enrichment Analysis

## Steps:

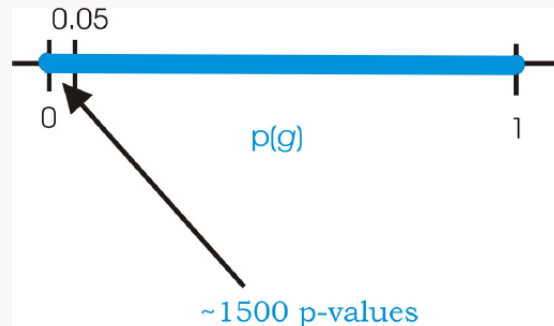
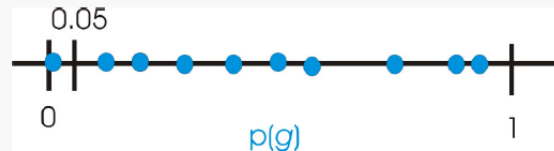
1. Calculation of an Enrichment Score (ES): maximum deviation from zero encountered in the walk
2. Normalization of the ES according to the sizes of the input list (L) and gene set (S), obtaining the normalized ES (NES).
3. Estimation of Significance Level of NES by permutations test
4. Adjustment for Multiple Hypothesis Testing

# Multiple test correction

- FDR (False Detection Rate)
- Why?

Multiple testing gene sets  
without overlap with our input list

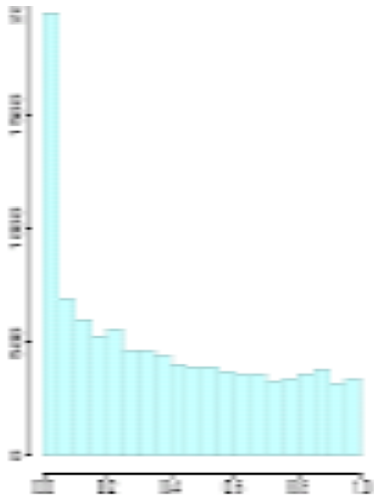
1 comparison  
10 comparisons  
30,000 comparisons



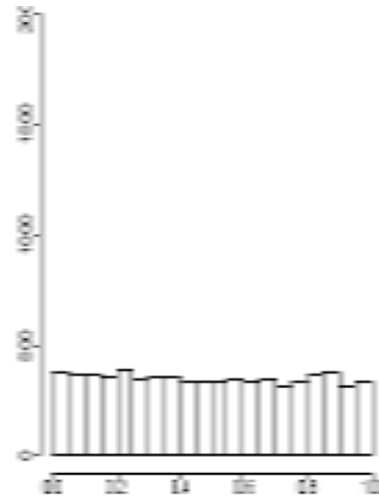
Looking at  
p-values

# The mixture interpretation of the p-value

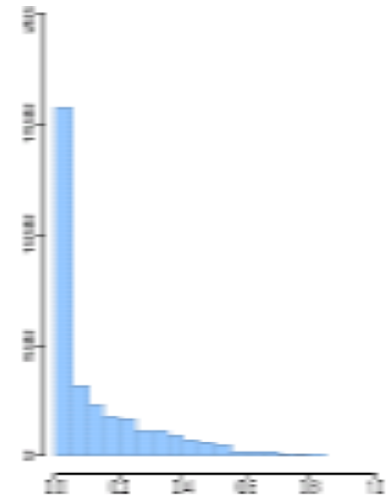
Mixture



Uniform



Something



=

+

# Multiple comparison correction

- False Discovery Rate (FDR) - Adjust the p-value in a way that ensures an **expected proportion of false positives**
- FDR-controlling procedures are designed to control the expected proportion of "discoveries" that are false

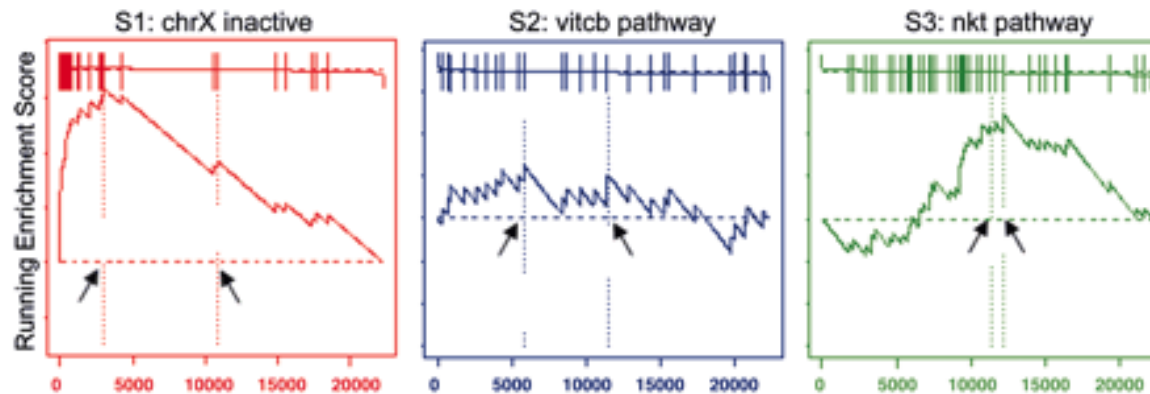
# How many comparisons?

The FDR can change when:

- Using different Gene Sets
- Using a redundant Gene Sets

1	<a href="#">REACTOME_THE_CITRIC_ACID_TCA_CYCLE_AND_RESPIRATORY_ELECTRON_TRANSPORT</a>
2	<a href="#">REACTOME_RESPIRATORY_ELECTRON_TRANSPORT</a>
3	<a href="#">REACTOME_RESPIRATORY_ELECTRON_TRANSPORT_ATP_SYNTHESIS_BY_CHEMIOSMOTIC_COUPLING_AND_HEAT_PRODUCTION_BY_UNCOUPLING_PROTEINS</a>
4	<a href="#">REACTOME_COMPLEX_I_BIOGENESIS</a>
5	<a href="#">REACTOME_MITOCHONDRIAL_TRANSLATION</a>
6	<a href="#">KEGG_PARKINSONS_DISEASE</a>
7	<a href="#">KEGG_OXIDATIVE_PHOSPHORYLATION</a>
8	<a href="#">KEGG_ALZHEIMERS_DISEASE</a>
9	<a href="#">REACTOME_PYRUVATE_METABOLISM_AND_CITRIC_ACID_TCA_CYCLE</a>
10	<a href="#">REACTOME_PROCESSING_OF_CAPPED_INTRON_CONTAINING_PRE_MRNA</a>
11	<a href="#">KEGG_HUNTINGTONS_DISEASE</a>

# Examples from the paper

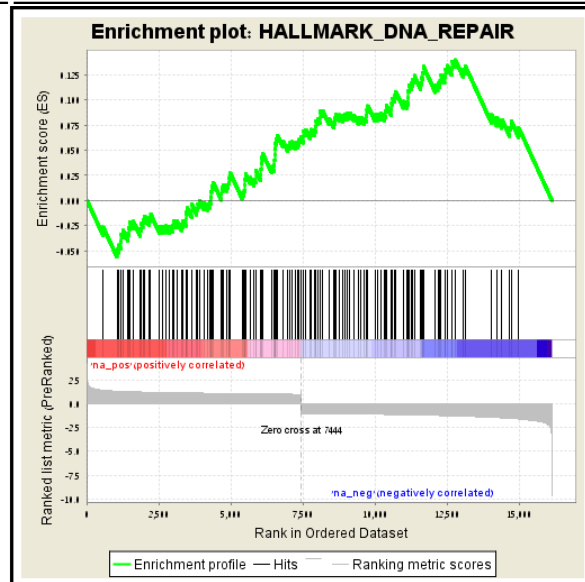
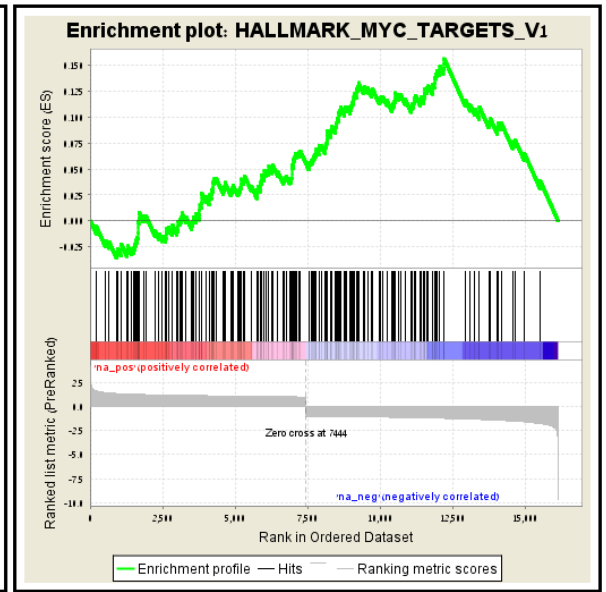
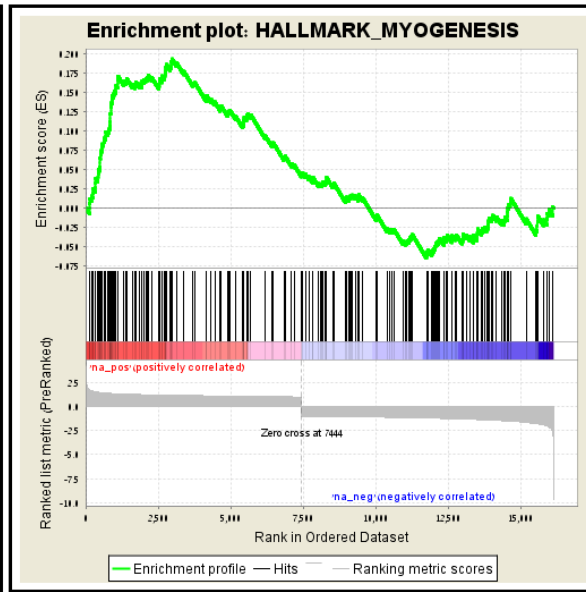
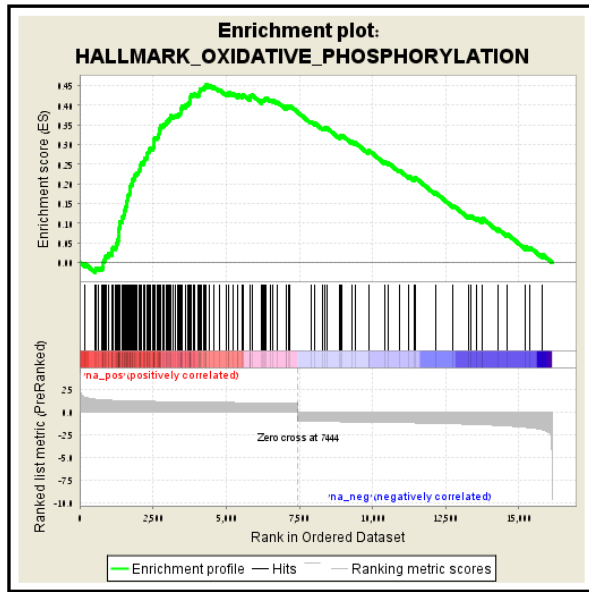


S1 is significantly enriched in females, S2 is randomly distributed and scores poorly, and S3 is not enriched at the top of the list but is nonrandom.

Arrows show the location of the maximum enrichment score and the point where the correlation (signal-to-noise ratio) crosses zero



# More examples




# Gene Set Enrichment Analysis

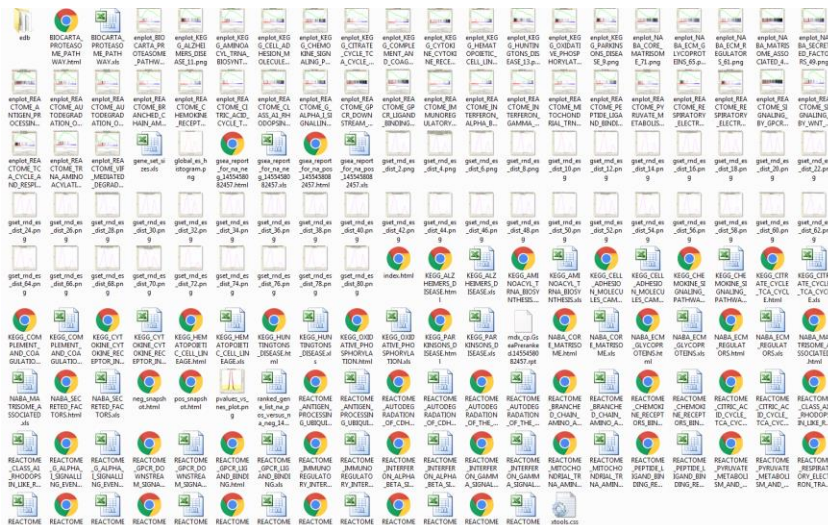
## Advantages

- Ranking of all genes is considered
- No cutoff has to be chosen

# GSEA output

## GSEA Report for Dataset MdxVsMdxKO\_Capital

 mdx\_cp.GseaPreranked.1455458082457



index.html

### Enrichment in phenotype: na

- 297 / 957 gene sets are upregulated in phenotype na\_pos
- 147 gene sets are significant at FDR < 25%
- 76 gene sets are significantly enriched at nominal pvalue < 1%
- 113 gene sets are significantly enriched at nominal pvalue < 5%
- [Snapshot](#) of enrichment results
- Detailed [enrichment results in html](#) format
- Detailed [enrichment results in excel](#) format (tab delimited text)
- [Guide](#) to interpret results

### Enrichment in phenotype: na

- 660 / 957 gene sets are upregulated in phenotype na\_neg
- 379 gene sets are significantly enriched at FDR < 25%
- 216 gene sets are significantly enriched at nominal pvalue < 1%
- 293 gene sets are significantly enriched at nominal pvalue < 5%
- [Snapshot](#) of enrichment results
- Detailed [enrichment results in html](#) format
- Detailed [enrichment results in excel](#) format (tab delimited text)
- [Guide](#) to interpret results

### Dataset details

- The dataset has 16146 features (genes)
- No probe set => gene symbol collapsing was requested, so all 16146 features were used

### Gene set details

- Gene set size filters (min=15, max=500) resulted in filtering out 373 / 1330 gene sets
- The remaining 957 gene sets were used in the analysis
- List of [gene sets used and their sizes](#) (restricted to features in the specified dataset)

### Gene markers for the na\_pos versus na\_neg comparison

- The dataset has 16146 features (genes)
- Detailed [rank ordered gene list](#) for all features in the dataset

### Global statistics and plots

- Plot of [p-values vs. NES](#)
- [Global ES](#) histogram

### Other

- [Parameters](#) used for this analysis

Table: Gene sets enriched in phenotype na [plain text format]

	GS follow link to MSigDB	GS DETAILS	SIZE	ES	NES	NOM p-val	FDR q-val	FWER p-val	RANK AT MAX	LEADING EDGE
1	<a href="#">HALLMARK_OXIDATIVE_PHOSPHORYLATION</a>	<a href="#">Details ...</a>	190	0.45	6.34	0.000	0.000	0.000	4319	tags=70%, list=27%, signal=94%
2	<a href="#">HALLMARK_MYOGENESIS</a>	<a href="#">Details ...</a>	194	0.19	2.70	0.000	0.000	0.000	2965	tags=36%, list=18%, signal=43%
3	<a href="#">HALLMARK_MYC_TARGETS_V1</a>	<a href="#">Details ...</a>	196	0.16	2.16	0.000	0.002	0.008	12217	tags=93%, list=76%, signal=377%
4	<a href="#">HALLMARK_DNA_REPAIR</a>	<a href="#">Details ...</a>	146	0.14	1.73	0.017	0.031	0.157	12784	tags=95%, list=79%, signal=450%
5	<a href="#">HALLMARK_FATTY_ACID_METABOLISM</a>	<a href="#">Details ...</a>	135	0.13	1.61	0.034	0.050	0.302	5634	tags=49%, list=35%, signal=74%
6	<a href="#">HALLMARK_HEME_METABOLISM</a>	<a href="#">Details ...</a>	162	0.12	1.55	0.032	0.058	0.391	3190	tags=30%, list=20%, signal=37%
7	<a href="#">HALLMARK_PROTEIN_SECRETION</a>	<a href="#">Details ...</a>	93	0.11	1.15	0.266	0.340	0.972	11931	tags=87%, list=74%, signal=332%
8	<a href="#">HALLMARK_SPERMATOGENESIS</a>	<a href="#">Details ...</a>	87	0.09	0.92	0.545	0.643	1.000	5292	tags=40%, list=33%, signal=60%
9	<a href="#">HALLMARK_PEROXISOME</a>	<a href="#">Details ...</a>	84	0.08	0.75	0.804	0.810	1.000	2821	tags=24%, list=17%, signal=29%

### Gene Set: HALLMARK\_OXIDATIVE\_PHOSPHORYLATION

<b>Standard name</b>	HALLMARK_OXIDATIVE_PHOSPHORYLATION
<b>Systematic name</b>	M5936
<b>Brief description</b>	Genes encoding proteins involved in oxidative phosphorylation.
<b>Full description or abstract</b>	
<b>Collection</b>	H: hallmark gene sets
<b>Source publication</b>	
<b>Exact source</b>	
<b>Related gene sets</b>	(show 93 founder gene sets for this hallmark gene set)
<b>External links</b>	
<b>Organism</b>	Homo sapiens
<b>Contributed by</b>	Arthur Liberzon (Broad Institute)
<b>Source platform</b>	HUMAN_GENE_SYMBOL
<b>Dataset references</b>	(show 4 hallmark refinement datasets) (show 1 hallmark validation datasets)
<b>Download gene set</b>	format: grp   text   gmt   gmx   xml
<b>Compute overlaps ?</b>	(show collections to investigate for overlap with this gene set)
<b>Compendia expression profiles ?</b>	Human tissue compendium (Novartis) NCI-60 cell lines (National Cancer Institute)
<b>Advanced query</b>	Further investigate these 200 genes
<b>Gene families ?</b>	Categorize these 200 genes by gene family
<b>Show members</b>	(show 200 members mapped to 200 genes)
<b>Version history</b>	5.0: First introduced

See MSigDB license terms here. Please note that certain gene sets have special access terms.

Table: GSEA Results Summary

Dataset	MdxVsMdxKO_Capital
Phenotype	NoPhenotypeAvailable
Upregulated in class	na_pos
GeneSet	HALLMARK_OXIDATIVE_PHOSPHORYLATION
Enrichment Score (ES)	0.4527396
Normalized Enrichment Score (NES)	6.337497
Nominal p-value	0.0
FDR q-value	0.0
FWER p-Value	0.0

Table: GSEA details [plain text format]

	PROBE	GENE SYMBOL	GENE_TITLE	RANK IN GENE LIST	RANK METRIC SCORE	RUNNING ES	CORE ENRICHMENT
1	<a href="#">MAOB</a>			167	1.659	-0.0033	Yes
2	<a href="#">VDAC1</a>			519	1.447	-0.0190	Yes
3	<a href="#">IDH3A</a>			533	1.441	-0.0136	Yes
4	<a href="#">VDAC3</a>			641	1.413	-0.0141	Yes
5	<a href="#">ATP5O</a>			722	1.393	-0.0131	Yes
6	<a href="#">COX15</a>			773	1.382	-0.0102	Yes
7	<a href="#">COX11</a>			780	1.380	-0.0046	Yes
8	<a href="#">NQO2</a>			781	1.380	0.0014	Yes
9	<a href="#">SDHA</a>			807	1.374	0.0058	Yes
10	<a href="#">ALDH6A1</a>			858	1.363	0.0085	Yes
11	<a href="#">NDUFB2</a>			859	1.363	0.0145	Yes
12	<a href="#">PRDX3</a>			896	1.357	0.0181	Yes
13	<a href="#">CS</a>			973	1.346	0.0192	Yes
14	<a href="#">SLC25A12</a>			977	1.345	0.0248	Yes
15	<a href="#">ATP5E</a>			1075	1.332	0.0245	Yes
16	<a href="#">PDP1</a>			1078	1.331	0.0302	Yes
17	<a href="#">IDH3G</a>			1088	1.329	0.0354	Yes
18	<a href="#">NDUFS1</a>			1117	1.326	0.0394	Yes
19	<a href="#">UQCRC2</a>			1213	1.313	0.0391	Yes
20	<a href="#">FXN</a>			1231	1.311	0.0437	Yes
21	<a href="#">SUCLA2</a>			1237	1.311	0.0491	Yes
22	<a href="#">NDUFV2</a>			1272	1.306	0.0526	Yes
23	<a href="#">NDUFB4</a>			1284	1.305	0.0576	Yes
24	<a href="#">NDUFA5</a>			1305	1.303	0.0620	Yes
25	<a href="#">PMPCA</a>			1306	1.303	0.0676	Yes
26	<a href="#">ACO2</a>			1319	1.301	0.0725	Yes
27	<a href="#">ISCU</a>			1320	1.301	0.0782	Yes
28	<a href="#">BCKDHA</a>			1334	1.300	0.0830	Yes

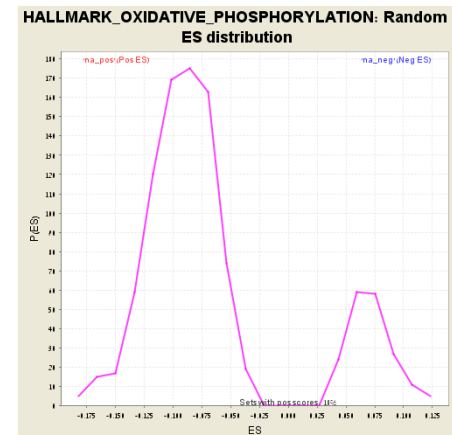
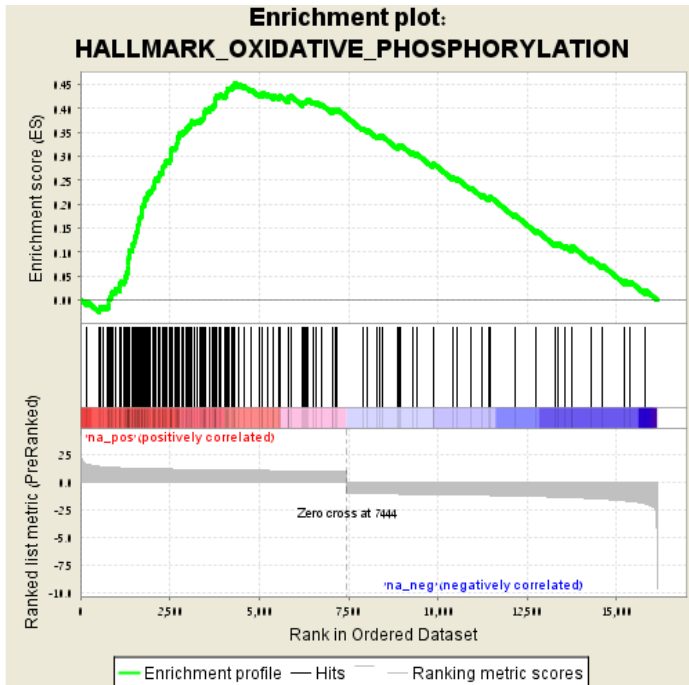


Fig 2: HALLMARK\_OXIDATIVE\_PHOSPHORYLATION: Random ES distribution Gene set null distribution of ES for HALLMARK\_OXIDATIVE\_PHOSPHORYLATION